

29 October 1951

To: LEM

From: H.M. S.

Subject: Flash Report on Carriage Assessment of Rostislav
ANTONOV

Important: This flash report is for the information of case officer only and is not for dissemination. An official report for dissemination will follow at a later date.

1. Object & Procedure. A general carriage assessment was given in order to promote operational security and to aid in the best utilization of the subject's capabilities. The subject was tested on agency, identity and personal history, criminality, and motivation and politics. Subject was tested in Munich on 26 October 1951. Standard carriage procedure was employed.

2. Estimated Reliability of Results: Subject appeared to be a normal person and reacted well during the test. Heart and breathing patterns were regularly excellent, but electrodermal unit was not functioning. Subject seems to have strong self-control and to be cool under pressure, so that he is difficult to excite. His reactions to control questions were ~~xxxx~~ strong. ~~xxxxxxx~~ Results can be classified as "reliable" in a scale of "reliable," "fairly reliable," and "unreliable."

3. Questions that Produced no Reactions. Subject apparently told the truth in ~~xxxx~~ reply to the questions in this section. His answer, in parenthesis, follows each question.

All norm questions

Were you born in Leningrad? (yes)

Were you born 30 Nov. 1920? (yes)

Are you really Rostislav Antonov? (yes)

Did you lie when you said you were awarded the Order of the Red Star? (no)

Do you know where Julia Voronko now is? (no)

Is your real last name ANTONOV? (yes) Confusion here was explained in this manner: subject's father was born as a ROMANOVSKY. Romanovsky was a tsarist officer, but after the revolution, to help his career and hide his tsarist past, he changed his name to ANTONOV. When subject was born, ANTONOV was the only family name and subject was always known as ANTONOV. Subject never had the original name of his father.

Are you now the owner of a lebensmittel? (yes)

Do you think God knows everything you do? (yes)

Is your first name Rostislav? (yes)

Are you now a secret agent of the Soviet Union or its satellites? (no)

Did you study the English language in the Soviet Union? (yes). Privately, in the year 1937 or 1938, subject explained, because others close to him were also studying that language.

Were you ever a ~~xxxxxxxxxx~~ secret agent in a Communist country? (no)

Were you ever a secret agent for any country? (no)

Were you ever in the Yoshiwara region? (no)

Do you know what a crime is? (yes)

Did you know the thief Fyodor Borovsky? (no)

Did you rob and kill him? (no)

Did you rob anybody within the past five years? (no)

Did you ever kill anybody? (no)

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DATE 2007

Did you ever commit a crime as you went to hide from me?
 Subject was briefed that interest was held in major, series infractions only, that his admitted numerous black-market operations were to be excluded. Subject answered "no," and was apparently telling the truth.
 In the past five years, did you have sexual relations with other men? (no)
 Did you lie about your military service? (no).
 Do you think the Communists are the enemy of the Russian people? (yes)
 Are you ready to risk your life in struggle against the Communists? (yes)
 Do you know who Stalin is? (yes)
 Were you ever a member of the Communist party? (no).
 Question was asked with the understanding that membership in the Komsomol, to which the subject admitted, was to be excluded from the meaning of the question.
 Are you now a member of the Communist party? (no)
 Are you convinced that you are not sympathetic to Communism? (yes)
 Have you ever been in Czechoslovakia? (yes)
 As far as you know, is any of your close relatives a Communist? (no)

4. Questions Producing Disturbances. Questions asked included in this section do not necessarily involve deliberate deception on the part of the subject. However, something remains unclear or disturbs the subject in regard to each question herein discussed. Each question is discussed and evaluated separately.

Did you ever use another name you desire to hide from me?
 This question disturbed subject the first two times it was asked. Later any trace of reaction disappeared. Question was asked again with the understanding that immediately, Frenkel, Sergei, and Sergei Shebalin were to be excluded from his calculation. Since the question disturbed subject slightly, it is probable that as a discolored woman or in black market deals he has used other names as well, perhaps for a short period only.

Did you ever work for the German intelligence? (no)
 A reaction was recorded in response to this question. It is suggested that case officer interrogate for follow-up these leads:
 a) When subject was working on this leaflet-dropping project, did he believe he was working with Russians only? Did he know or think Americans or Germans were involved?
 b) How is it that as a German PW, with the Germans knowing of subject's work with rockets, subject was not used by German intelligence, at least defricted by them?
 c) Subject must have had special privileges to be able to contact Masov and act as the latter's adjutant. To serve in that capacity subject was at least in contact, perhaps at times unwittingly, with German intelligence.

Do you plan to cheat the Americans? (no) This was a direct question to the subject, and disturbance undoubtedly was due to the surprise aspect of the question. Operator feels that he does not plan to do any cheating; rather he wants to help himself in the best way, and hence working with the Americans will be to his personal advantage. If kept satisfied, he'll undoubtedly play square.

Antonov's estimate of Subject. Subject makes a very good impression on others. He has a way of being quiet, disarming and polite, but he weighs every word he says and rarely has his guard down. He is cool in the presence of others, and has a lot of self-control--apparently in a crisis he would keep his calm and collected. He makes impressions as both intelligent and educated; as a displaced person he was always better off than his peers; his initiative and intelligence combining to get him ahead. He is slow and deliberate in speech--because of careful thought about what he is going to say rather than because of a phlegmatic personality.

Subject appears much older than he really is, seemed to be 35 years or more (but was born in 1920).

Subject has had considerable experience in handling people and is probably expert in conspiratorial work.

Subject knew General VLASOV well, acting as his adjutant in the ROK. Subject believes Vlasov was weak in character--that he tended to grant any request, no matter how much he Vlasov was against the idea--if the petitioner was persistent. Onebator got the impression that the subject was of the opposite nature--cold and firm and unyielding when he wants to be.

Subject is affiliated with no party in the emigration, considering politicians unprincipled and party politics as "child's play." Subject claims he knows little about parties, that all of them have good "programs," but a good program is not enough.

6. Remarks & Observations: Subject apparently told the essential truth about himself during the assessment. He is who he claims to be, and the background data on himself and his are essentially correct. He does not have pronounced criminal tendencies, but his career has been such that if in his own interest he will stay beyond the law, as he admitted, even as a black marketeer. He does not appear to be a Soviet agent, and he is generally anti-Communist.

It is felt that false names, other than those admitted, used by the subject, have not been insisted upon from the subject, since the subject probably passes them off as unimportant and may not remember many of them.

However, it is suggested that case officer probe subject's relationships with the Germans during the war; it is felt that subject has withheld information about his relationship with German intelligence during the war. The undersigned suspects that subject knowingly at least acted as a source for German agents. He did not have time to quiz subject thoroughly on this, but subject would probably willingly state that he did talk to such contacts.

F.H.S.